

Message Text

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ACTION EB-08

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C O N F I D E N T I A L SECTION 01 OF 02 PARIS 14186

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EXCON

E.O. 11652: XGDS1
TAGS: ESTC, COCOM
SUBJECT:SPECIAL COCOM MEETING - TECHNOLOGY CONTROLS

REF: STATE 98593

1. SUMMARY: AT SPECIAL COCOM MEETING APRIL 25-26, ALL OTHER COCOM MEMBERS, EXCEPT FOR GERMANY, GAVE EQUIVOCAL RESPONSES TO U.S. QUESTION ON WHETHER THEY CAN CONTROL TECHNOLOGY FOR PRODUCTS WHICH ARE NOT THEMSELVES CONTROLLED. END SUMMARY.

2. IN COCOM DOC. PROC. (78) 2 WHICH WAS DISCUSSED AT SPECIAL COCOM MEETING APRIL 25-26, THE NETHERLANDS PROPOSED THAT ADMINISTRATIVE PRINCIPLE 5 (AP-5) NOT BE APPLIED TO CASES IN WHICH CONTROLS ON COMMODITIES AND PROCESS AND/OR MANUFACTURING EQUIPMENT WERE ADEQUATE TO CONTROL THE FLOW OF TECHNOLOGY. HOWEVER AT THE MEETING THE NETHERLANDS DEL STATED THAT THEY DID NOT INTEND TO PROPOSE A SEPARATE LISTING OF ITEMS TO WHICH AP-5 SHOULD NOT APPLY.

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3. DURING THE MEETING THE USDEL SPECIFICALLY ASKED THE OTHER DELEGATIONS WHETHER THEIR GOVERNMENTS CAN CONTROL TECHNOLOGY FOR PRODUCTS WHICH ARE NOT THEMSELVES CONTROLLED. THE RESPONSES OF THE OTHER DELEGATIONS WERE AS FOLLOWS:

A. GERMAN DEL EXPLICITLY CONFIRMED THAT THE GERMAN GOVERNMENT HAS THE POSSIBILITY OF CONTROLLING KNOW-HOW WITHOUT THE CONTROL OF COMMODITIES AND INDICATED THIS WAS BEING DONE NOW FOR ITEM 1564 (SEMI-CONDUCTORS).

B. FRENCH DEL SIMPLY REFERRED TO PREVIOUS FRENCH STATEMENTS REGARDING THE CONTROL OF TECHNOLOGY: PARAS 3-6, DOC CONTR (77) 3.9 AND PARAS 292-300, DOC. SUB-C CONTR (76) 1.

C. NETHERLANDS DEL REFERRED TO EXTENSIVE CONSULTATION OF ITS GOVERNMENT WITH INDUSTRY AND TO THE LACK OF ANY EVIDENCE DURING THE 28 YEARS OF COCOM THAT "ANY RELEVANT TECHNOLOGY HAS BEEN UNDULY PROVIDED" FROM THE NETHERLANDS TO ANY PROSCRIBED COUNTRY.

D. UKDEL SAID THAT WHILE IT HAD NO WRITTEN STATUTE TO CONTROL TECHNOLOGY ITS SYSTEM WAS SO FLEXIBLE THAT IT COULD HONOR WHATEVER APPROACH THE COMMITTEE CAME UP WITH IN THIS REGARD. UKDEL TOLD USDEL BILATERALLY THAT IT WOULD PROBABLY HAVE TO CONTINUE NOMINAL COMMODITY CONTROLS EVEN IF COCOM DID NOT IN SOME AREAS.

E. CANADIAN DEL SAID THAT THE ONLY EXISTING CANADIAN LEGISLATION TO CONTROL TECHNOLOGY WAS IN THE NUCLEAR AREA. THEY NOTED, HOWEVER, THE EMERGING MOOD OF THE COMMITTEE TO EMPHASIZE TECHNOLOGY CONTROLS AND SAID THAT THEY WERE PLANNING DISCUSSION AT MINISTERIAL LEVEL (INCLUDING PRIME MINISTER) REGARDING THIS QUESTION.

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TION. (IN EARLIER BILATERAL DISCUSSIONS CANADIANS HAVE INFORMED USDEL THAT CANADA CAN IN FACT CONTROL ANY TECHNOLOGY IN A PHYSICAL FORM, E.G., IN DOCUMENTS.)

F. ITALIAN DEL NOTED THAT NEW DRAFT ITALIAN REGULATIONS ON TECHNOLOGY WILL BE PRESENTED TO COCOM WITHIN A WEEK.

G. JAPANESE DEL REFERRED TO THE LACK OF A DOMESTIC LEGAL BASIS IN JAPAN TO CONTROL TECHNOLOGY.

H. NO OTHER DELEGATIONS WERE PRESENT AT THE MEETING.

4. NETHERLANDS AND GERMAN DELS URGED THAT THE COMMITTEE IDENTIFY CRITICAL TECHNOLOGIES BECAUSE THE AP-5 WORDING IS TOO BROAD. UKDEL SAID THAT THE ONLY WAY TO

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C O N F I D E N T I A L SECTION 02 OF 02 PARIS 14186

DO THIS WAS ITEM BY ITEM. UKDEL TOLD USDEL BILATERALLY
THAT THEY BELIEVE THAT U.S. TECHNICAL DATA REGULATIONS
ARE TOO DETAILED PERMITTING LOOPHOLES.

5. JAPAN AND NETHERLANDS ARGUED AGAINST REMOVING "SO
FAR AS PRACTICABLE" FROM THE TECHNOLOGY NOTES AT THE
BEGINNING OF EACH LIST WHICH WAS PROPOSED BY THE U.S.
IN PARA C OF ITS PROPOSAL, COCOM DOC. PROC (78) 1.7 AND
2.7 (REFTEL). ARGUMENTATION PUT FORWARD BY THESE TWO
DELEGATIONS WAS THE SAME USED BY SEVERAL DELEGATIONS
LAST DECEMBER DURING THE CRITERIA DISCUSSIONS ON THIS
SAME POINT.

6. REFERRING TO THE INCONCLUSIVE DISCUSSIONS ON THE
TECHNOLOGY DURING THE MEETING, NETHERLANDS DEL EXPRESSED
REGRET THAT IT APPEARED IMPOSSIBLE TO DISCONTINUE CON-
TROLS ON PRODUCTS NOW IN EFFECT ONLY TO CONTROL THE
TECHNOLOGY. USDEL RESPONDED THAT IT WAS PREMATURE TO
REACH SUCH A CONCLUSION BUT THAT IT MIGHT BE NECESSARY
TO CONDITION LIST REVIEW PROPOSALS TO RELAX PRODUCT
CONTROLS ON THE RESOLUTION OF TECHNOLOGY QUESTIONS.
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